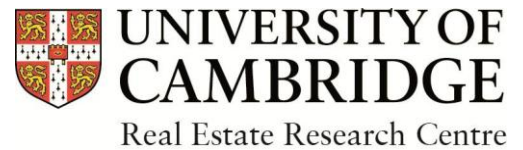


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Title: Assessing the Ecological Impacts of Coastal Reclamation: An Integrated Index System

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1 **Assessing the ecological impacts of coastal reclamation: An**
2 **integrated index system**

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37 **Assessing the ecological impacts of coastal reclamation: An integrated index system**

38

39 **Abstract**

40 Coastal land reclamation has been practiced widely to accommodate rapid economic growth and
41 urbanization. However, the exploitation of coastal wetlands also imposes ecological risks and jeopardizes
42 ecological security in many countries. There is a need to assess the overall effects of coastal reclamation.
43 We developed a conceptual framework to assess the ecological impacts of coastal land reclamation in
44 three dimensions: land quantity, ecological environment, and land quality. An integrated index system
45 was constructed accordingly and tested by using data from Cixi, a coastal city in eastern China. Our
46 index system generated rich information to assess the impacts of reclamation on added land area,
47 landscape ecological risk, and cropland soil quality. The results showed that coastal reclamation played
48 an important role in maintaining the balance of the supply and demand of land resources during
49 urbanization in the study area. However, its adverse ecological effects were also evidenced. Coastal
50 reclamation not only increased the landscape disturbance and ecological risk but also significantly
51 decreased the overall cropland soil quality. The city's original reclamation-driven development strategy
52 is unable to meet the updated requirements of "quantity-quality-ecological balance" for cropland
53 protection. Our index system can help land use policymakers monitor the ecological effects of coastal
54 reclamation. The knowledge gained has major policy implications for sustainable land use management
55 in coastal areas.

56

57 **Keywords:** Land reclamation; Remote sensing; Landscape ecological risk; Soil quality; Cropland
58 protection

59

60 **1 Introduction**

61 Historically, coastal areas attract business activities due to their access to transport networks and
62 fertile lands. Consequently, it is commonplace that land demand outstrips land supply in coastal areas,
63 and the gap has been further widened as a result of the rapid urbanization process across the world
64 (Lazzari et al., 2019). Facing this challenge, coastal cities increasingly rely on ocean sprawl as a key
65 strategy to meet land demand. Reclamation of coastal wetlands has also emerged as an important method
66 for increasing human living and production space (Zhang et al., 2020b; Wang et al., 2014). Many

67 countries, including the Netherlands, Japan, Italy, Portugal, Singapore, Korea, and Saudi Arabia, have
68 expanded their land area through reclamation projects. These land reclamation projects played a
69 significant role in promoting local industrial and agricultural development, as well as urban construction
70 (Sousa et al., 2020; Fokker et al., 2019; Sengupta et al., 2018). However, large-scale reclamation also
71 gives rise to ecological issues such as habitat fragmentation, soil degradation, and biodiversity loss,
72 resulting in serious ecological security risks (Barbier, 2019; Sun et al., 2017). The reclaimed area has
73 further developed into an ecologically fragile area disturbed by human activities and may suffer long-
74 term negative effects from irrational land use management such as inefficient use, idle waste, and even
75 soil pollution (Yang et al., 2017; Tian et al., 2016). Despite many studies on coastal land reclamation, it
76 is still unclear how the net effect of coastal land reclamation should be determined.

77 This study addresses this research question by proposing an integrated index system to assess the
78 ecological effects of coastal land reclamation activities. The coastal land reclamation process is long, and
79 its effect is long lasting. Therefore, an index is necessary to track the effect over time. We included three
80 dimensions, i.e., land quantity, ecological environment, and land quality, in the proposed index system
81 to account for the complexity of the land reclamation process. Three subindices, i.e., the added cropland
82 area index (ACA), landscape ecological risk index (ERI), and soil quality index (SQI), were developed
83 accordingly. A weighting system was used to compose the final Integrated Benefits Index (IBI), which
84 measures the overall effects of coastal land reclamation. The system is designed in such a way that
85 policymakers can incorporate local priorities by adjusting the weighting among the three dimensions.

86 We tested the proposed index system by using data from a typical coastal city in China. Cixi City,
87 our study area, has been acquiring land along its coastline for decades, and the local areas have undergone
88 significant changes through this process. Our empirical analysis shows clear evidence of both the benefits
89 and the costs of coastal reclamation in this city. By comparing remote sensing images, we observed that
90 coastal reclamation expanded rapidly by 393.71 km² from 1985 to 2020, equivalent to 42% of the original
91 land area. The coastal landscape presented a gradual evolution from natural wetlands to artificial
92 landscapes dominated by cropland, built-up land, and mariculture. Coastal reclamation played an
93 important role in maintaining the balance of land resources during urbanization. Meantime, land
94 reclamation had negative ecological impacts on ecological security. From 1985 to 2020, the landscape
95 ecological risk in Cixi City increased from low-medium to medium-high levels, while the overall soil
96 quality index of cropland dropped from 2.50 to 2.38.

97 We also found that the city's coastal land reclamation outcomes can only be considered satisfactory
98 in the old regime when economic growth was the first priority. Significant land use policy changes are
99 needed to meet the central government's new requirements of striking a balance between economic
100 growth and sustainable development. The empirical analysis demonstrates the flexibility and tractability
101 of our conceptual model and the proposed index system. It is an effective and efficient tool to measure
102 the effects of coastal land reclamation from a land use policy perspective.

103 The rest of the paper is organized as follows. The second section gives the conceptual model,
104 followed by the description of the index system in Section 3. Section 4 provides details of the empirical
105 strategies. The findings are presented and discussed in Section 5. Section 6 concludes.

106

107 **2 Conceptual model**

108 Existing studies have identified several important aspects of assessing the impacts of land
109 reclamation activities on coastal ecosystems. Most of these studies investigated these aspects individually
110 in isolation. We designed a conceptual model to bring these studies into one unified framework, as shown
111 in Figure 1. The conceptual framework consists of five dimensions, namely, landscape/land use change,
112 soil properties, biodiversity, ecosystem services, and ecological risks. We surveyed the literature to
113 determine the factors to be included in each of these five dimensions.

114 First, reclamation activities transform coastal landscape/land use patterns from natural ecosystems
115 to artificial ones. This process affects the quantity of different types of land in and around the reclaimed
116 areas. For example, since the end of the 19th century, nearly 2000 ha of wetlands were converted to
117 anthropic environments due to coastal wetland reclamation in Ria Formosa, Portugal. However, this
118 process led to a 20% natural area reduction in the same area (Sousa et al., 2020). Similar effects are
119 observed in other parts of the world (Murray et al., 2019) and especially in China (Hu et al., 2021; Zhang
120 et al., 2020b). Therefore, it is an important aspect of coastal reclamation impacts to be considered in our
121 conceptual model.

122 Second, wetland soils' physical, chemical, and biological properties are altered by the conversion,
123 which leads to changes in soil quality. We measured changes in soil quality based on their physical,
124 chemical, and biological properties. Evidence shows that soil physical and chemical properties can be
125 affected by coastal land reclamation, both in the short term and in the long term (Li and Zhang, 2021;
126 Xu et al., 2021; Xie et al., 2019; Cui et al., 2012). The impacts of coastal reclamation on biological

127 properties, such as bacterial diversity and macrofaunal communities, have also been identified in many
128 studies (Ge et al., 2021; Hua et al., 2017). Therefore, we included these three groups of indicators in the
129 conceptual framework to capture the effects of coastal reclamation on soil quality.

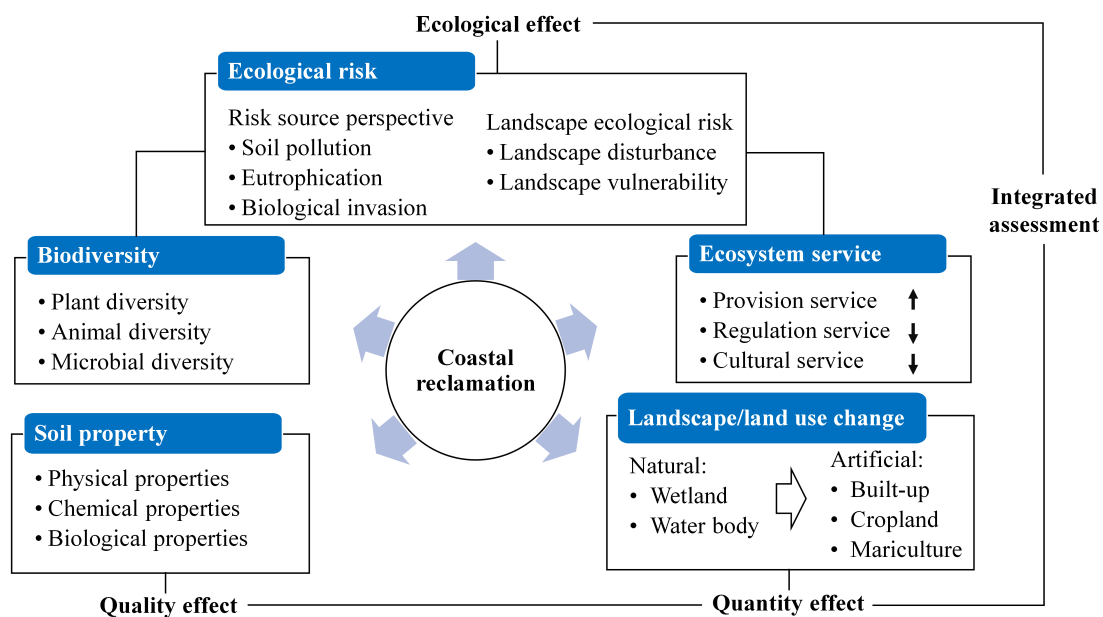
130 Third, conversion alters coastal biodiversity due to the different habits of wetlands and terrestrial
131 areas. We focused on the diversity of plants, animals, and microbial communities in this dimension.
132 Researchers have documented significant impacts of coastal reclamation on mangroves in Indonesia and
133 China (Slamet et al., 2020; Sun et al., 2015). The habitat choice and migration pattern of birds are also
134 affected by coastal reclamation activities (Sun et al., 2016). The negative effect of coastal reclamation
135 on animal species number and diversity is alarming (Yang et al., 2016). There has also been growing
136 concern regarding the shifts in soil bacterial and fungal communities in areas affected by coastal
137 reclamation (Yang et al., 2021; Kim et al., 2019). Therefore, these are important aspects to be considered
138 when assessing the impacts of coastal reclamation on biodiversity.

139 Fourth, land use change is a primary driver of ecosystem service loss. Therefore, land conversion
140 during coastal reclamation affects the provision, regulation, and cultural services of the coastal ecosystem.
141 Following the practice in the ecosystem services literature, we consider provision, regulation, and
142 cultural service in the conceptual model. Specifically, a positive relationship is identified between
143 reclamation activity intensity and material production (Liu et al., 2020b; Yang et al., 2017); while coastal
144 land reclamation tends to reduce the ecosystem services of coastal wetlands in terms of water regulation,
145 carbon storage and soil retention (Tian et al., 2021; Yu et al., 2021; Li et al., 2017), as well as aesthetic
146 and recreational services to affected areas (Wang et al., 2010). We include all three aspects in the
147 conceptual model to capture the complex relationship between coastal land reclamation and ecosystem
148 services.

149 Finally, the changes in biodiversity and ecosystem services have an impact on the ecological security
150 of coastal ecosystems and ultimately impose ecological risks. As this poses a significant threat to the
151 sustainability of both the urban and natural environments in affected areas, extensive studies have been
152 conducted in this stream of research. Empirical investigations show that coastal reclamation increases
153 ecological risks primarily through soil pollution (Lu et al., 2020; Zhao et al., 2016), eutrophication (Qiao
154 et al., 2017; Li et al., 2014), and biological invasion (Granse et al., 2021; Wang et al., 2017). The effects
155 of these changes manifest themselves in terms of landscape disturbance (Wu et al., 2022; Zhou et al.,
156 2018), and landscape vulnerability (Wang et al., 2021c; Zhang et al., 2015). Taking stock of findings in

157 the literature, we focused on landscape disturbance and landscape vulnerability to construct a landscape
 158 ecological risk index to capture the ecological effect of coastal land reclamation.

159 The effects through these five channels do not work in isolation; one aspect of the ecological effects
 160 of reclamation can affect the others due to their interdependency and nonlinear interactions. For example,
 161 intensive land use changes can cause landscape fragmentation and biodiversity loss, thereby
 162 compromising coastal ecosystem services, which in turn could increase landscape ecological risks. By
 163 considering these five dimensions in one unified framework, our theoretical model has the advantage of
 164 isolating the net effect of each dimension when studying the complex relationship between land
 165 reclamation and coastal ecosystems. We considered these dimensions as the channels through which land
 166 reclamation activities affect coastal ecosystems. The effects are further classified into three categories,
 167 land quantity, land quality, and ecological outcomes, because these are the three most important metrics
 168 in China's land use policies (Zhou et al., 2021; Liu et al., 2019).



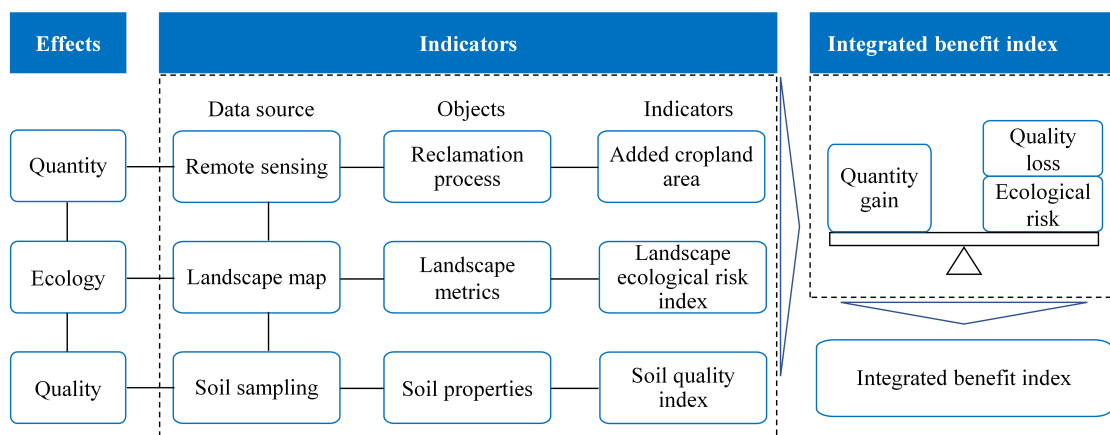
169
 170 **Fig. 1** Conceptualization of an integrated coastal reclamation assessment model

171
 172 **3 An integrated index system for land reclamation assessment**

173 Based on the conceptual model in Figure 1, we further developed an integrated index system to
 174 assess the ecological effects of coastal reclamation (see Figure 2). We developed measurements for each
 175 of the three types of effects. The data source, objects of measurement, and indicators of each effect are
 176 given in the second column of Figure 2. Specifically, the index system consists of a quantity indicator

177 that monitors the amount of cropland areas added by the reclamation process, a quality indicator that
 178 measures soil quality in the reclamation areas, and an ecology indicator that gauges the overall ecological
 179 impacts of coastal reclamation processes. Finally, an integrated benefit index of coastal reclamation is
 180 created to quantify the trade-off between the gain of added cropland and the cost of increased ecological
 181 risks and decreased soil quality. This integrated index system allows us to assess the overall effect of
 182 land reclamation systematically and comprehensively. The methods to construct the three indicators and
 183 the integrated benefit index are outlined below.

184



185

186 **Fig. 2** An integrated index system for coastal reclamation assessment

187

188 3.1 Land quantity indicator: added cropland area (ACA)

189 We used remote sensing images to track the coastal reclamation expansion. This is an effective way
 190 to measure cropland areas added to the affected areas and therefore to be used as a reliable indicator of
 191 the quantity of land added by the coastal reclamation process. It is a common practice to use remote
 192 sensing images to quantify changes in land quantity in coastal reclamation studies. For example, Lin et
 193 al. (2019) explored the spatiotemporal changes in paddy field area in Hangzhou Bay using three periods
 194 of Landsat data; time-series Landsat imagery was used to map wetland changes within a reclaimed area
 195 in Shanghai in Wu et al. (2018); and a large-scale study of the total amount of increased cultivated land
 196 in China from 1979 to 2014 also used remote sensing images such as Landsat, SPOT, ZY-2 and ZY-3
 197 (Meng et al., 2017). Our land quantity indicator, denoted ACA hereafter, is constructed according to these
 198 existing practices.

199

200 3.2 Ecology indicator: landscape ecological risk index (ERI)

201 In the land use policy domain, researchers use two methods to assess the ecological risk caused by
202 land use changes: an ecological risk evaluation system based on the relation between risk source and
203 receptor exposure, or landscape pattern metrics that reflect the landscape ecological risks brought by land
204 use changes. Coastal reclamation has an extensive impact on the landscape pattern and leads to wide
205 changes in the coastal area. Meanwhile, the specific risk sources and receptors in reclamation are difficult
206 to identify. Therefore, the landscape pattern metrics method is more suitable for assessing the ecological
207 risks caused by coastal reclamation. According to the landscape ecological risk assessment (LERA)
208 framework, a landscape made up of various local ecosystems is the ideal scale for examining how human
209 activities affect the environment. Landscape dynamics can characterize comprehensive ecological risks
210 from various stressors and offer a method for mitigating ecological risk based on landscape pattern
211 optimization (Liao et al., 2020). This approach has been employed in numerous studies in coastal areas
212 to date (Ai et al., 2022; Zhang et al., 2020a), but has not been used to investigate the ecological impacts
213 of coastal reclamation.

214 Based on the LERA framework, we develop a landscape ecological risk index (ERI) to capture two
215 important dimensions of coastal reclamation impacts: "ecological loss" and "risk probability."
216 "Ecological loss" is measured by a disturbance degree index (D_i) and a vulnerability index (V_i). D_i
217 represents the level of landscape disturbance brought on by human activities and natural changes. V_i
218 reflects the ability of landscape components to maintain stable ecological structure and function. "Risk
219 probability" is estimated based on the area of each landscape type and reflects the contribution of each
220 landscape type to the overall landscape risk. The formula of ERI is as follows.

$$221 \quad ERI_k = \sum_{i=1}^n \frac{A_{ki}}{A_k} \times D_i \times V_i \quad (1)$$

222 where ERI_k is the landscape ecological risk index of unit k , k is the assessment unit, i is landscape type,
223 n is the total number of landscape components, A_{ki} is the area of land use type i in unit k , A_k is the area
224 of unit k , D_i is the landscape disturbance index of type i , and V_i is the landscape vulnerability index. The
225 higher the ERI value is, the higher the ecological risk.

226 Following the approach in Ai et al. (2022) and Ran et al. (2022), we construct landscape
227 fragmentation (LF_i), separation (LS_i), and dominance (LD_i) indices to calculate D_i . LF_i describes the
228 degree of fragmentation of landscape components, which reflects how humans have altered coastal

229 ecosystems. High levels of landscape fragmentation have been linked to ecological risks such as habitat
 230 loss, biodiversity loss, and ecosystem degradation, according to earlier studies. LS_i represents the level
 231 of isolation or segregation between landscape patches. Given that external disturbances harm the
 232 connectivity of the landscape, its high value reflects how these disturbances affect the structure of coastal
 233 ecological networks. LD_i denotes the extent to which a particular type predominates the landscape in the
 234 landscape structure. These three indices were calculated by Fragstats 4.2 software, using the following
 235 formulas.

$$236 \quad D_i = aLF_i + bLS_i + cLD_i \quad (2)$$

$$237 \quad LF_i = n_i / A_i \quad (3)$$

$$238 \quad LS_i = \frac{A}{2A_i} \sqrt{\frac{n_i}{A}} \quad (4)$$

$$239 \quad LD_i = \frac{Q_i + M_i}{4} + \frac{L_i}{2} \quad (5)$$

240 where LF_i , LS_i , and LD_i are the landscape fragmentation, separation, and dominance indices of landscape
 241 type i , respectively; a , b , and c represent the weights of LF_i , LS_i , and LD_i and take the values of 0.5, 0.3,
 242 and 0.2 respectively, based on previous studies and expert opinions (Zhang et al., 2020a). n_i is the number
 243 of patches of landscape type i , Q_i is the ratio of units of landscape type i to the total units, M_i is the ratio
 244 of the number of patches of landscape type i to the total number of patches, and L_i is the ratio of the area
 245 of landscape type i to the total area.

246 Finally, V_i , the landscape vulnerability index in Equation (1), is a comprehensive measure of the
 247 sensitivity and resistance of landscape components. It reflects the expected loss or damage to landscape
 248 components caused by external disturbance (Karimian et al., 2022; Rani et al., 2018). Different
 249 ecosystems have different sensitivities to external disturbances and abilities to resist external
 250 disturbances. We ranked the landscape vulnerability index of each of the n landscape types in descending
 251 order (i.e., higher index numbers represent lower vulnerability). The index numbers are normalized
 252 before entering Equation (1).

253

254 3.3 Land quality indicator: soil quality index (SQI)

255 The calculation of the soil quality index involves three steps. First, soil quality indicators were

256 selected based on the assessment's goals. The selection of soil quality indicators frequently changes
257 depending on the study's objectives. Climate, topography, soil characteristics, and management
258 techniques are typically limiting factors for cropland soil quality (Lehmann et al., 2020; Bünemann et
259 al., 2018). Second, raw indicator values are converted into scores by using either expert judgement (e.g.,
260 the Delphi method as used in Liu et al. (2020a)) or statistical methods. Finally, indicator scores are
261 converted into an index by using a weighted linear combination method (Qiu et al., 2017).

262

263 3.4 An integrated coastal reclamation effects index (IBI)

264 Based on three indicators in terms of ACA, LERI, and SQI, the integrated coastal reclamation effects
265 index (IBI hereafter) is created to assess the integrated benefit of coastal reclamation. The formula of IBI
266 is as follows.

$$267 \quad IBI_i = \alpha ACA_i^* + \beta ERI_i^* + \gamma SQI_i^* \quad (6)$$

268 where ACA_i^* , ERI_i^* , and SQI_i^* are the normalized scores of the added cropland area, landscape ecological
269 risk index, and soil quality index in year i , respectively. α , β , and γ represent the weights of ACA_i^* , $LERI_i^*$,
270 and SQI_i^* , respectively. The values of IBI range between zero and one, with larger values indicating
271 greater benefits from coastal reclamation. By setting the values of α , β , and γ , policymakers can set
272 the priorities or balances among the three components and assess the impacts of coastal reclamation
273 accordingly. For example, in the empirical investigation parts of the study, we calculated the value of IBI
274 under three scenarios, i.e., balanced development ($\alpha=1/3$, $\beta=1/3$, and $\gamma=1/3$), socioeconomic development
275 ($\alpha=3/5$, $\beta=1/5$, and $\gamma=1/5$), and ecological priority ($\alpha=1/5$, $\beta=3/5$, and $\gamma=1/5$).

276

277 **4 Empirical Implementation**

278 To demonstrate the capacity and flexibility of the proposed index system, we use a typical coastal
279 city in China in the empirical analysis part of this study. The scale of coastal reclamation in China is
280 much larger than that in other countries (Murray et al., 2019). All of China's coastal provinces and cities
281 have undergone extensive land reclamation since the 1950s due to land scarcity brought on by explosive
282 economic growth and urbanization (Wang et al., 2014). Between 1979 and 2014 alone, the total area of
283 land reclamation was 11,163 km² in China (Meng et al., 2017), equivalent to ten times the size of Hong
284 Kong. It demonstrated how the central government's emphasis on land reclamation was continuously

285 strengthened by sorting out relevant laws and regulations on the management of land reclamation (Table
286 1). There have been two sizable waves of coastal reclamation overall. The first was to reclaim cropland
287 and practice mariculture, focusing on agricultural growth. It was the stage in which the state actively
288 promoted reclamation in an effort to address the nation's food shortage (Miao and Xue, 2021). There
289 were no specific laws to control land use practices in coastal areas. The second wave started when the
290 Land Management Law in 1998 first proposed the policy of "to achieve cropland requisition-
291 compensation balance" (CRCB), which required the local government to ensure that if cropland is
292 converted into construction, the same amount of cropland needs to be developed (Liu et al., 2017). The
293 CRCB policy triggered ocean sprawl in coastal areas (Liu and Li, 2020). The coastal reclamation projects
294 have grown over the subsequent two decades, contributing significantly to the stabilization of cropland
295 areas during urbanization. However, since it was proposed in 1998, the CRCB policy has gone through
296 three stages: "quantitative balance," "quantity-quality balance," and "quantity-quality-ecological balance"
297 (Liu et al., 2019). Whether the reclamation-driven development model can still adapt to the revised
298 CRCB policy is up for debate. More seriously, the overexploitation of coastal wetlands is viewed as a
299 threat to ecological security in China, which contradicts China's advancement towards ecological
300 civilization (Zhang et al., 2020b). To this end, the central government has successively issued a series of
301 policies to restrict coastal reclamation, including the strictest reclamation regulations implemented in
302 2018 that stopped approving any new land reclamation projects. The fever for land reclamation quickly
303 subsided, and a new stage of coastal land use emerged (Wang et al., 2021b). The scale of coastal land
304 reclamation and the pace of policy changes make China a good test ground for our conceptual model.
305
306

Table 1 Relevant laws and regulations on coastal reclamation management in China.

Year	Laws and regulations	Key function
1982	Marine Environmental Protection Law of the People's Republic of China	Proposing reclamation is a part of coastal and marine engineering
2001	Sea Area Use Management Law of the People's Republic of China	Stipulating the approval authority of reclamation
2003	Outline of China's Marine Economic Development Plan	Proposing the goal of building a strong marine economy
2006	Opinions on Enforcing Management of Sea Use for Regional Construction	Proposing strict review of regional construction sea use planning and dynamic monitoring of regional construction sea use
2009	Island Protection Law of the People's Republic of China	Restricting engineering construction that alters the coastline
2009	Notice on Intensifying Management of Land Reclamation Plans	Rectifying and normalizing enclosing and reclamation order and enforcing implementation of enclosing and reclamation plan
2016	Measures for the Control of Sea Reclamation	Controlling the adverse impact of reclamation activities on the marine ecological environment
2018	Notice on Strengthening the Protection of Coastal Wetlands and the Strict Control of Reclamation	The annual quota of reclamation is cancelled. Approval of new reclamation projects is stopped except for major national strategic projects. Historical illegal reclamation projects are required to be prosecuted.
2019	Notice on Further Clarifying the Requirements for Handling Issues Left over from the History of Reclamation	Standardizing work procedures and requirements for dealing with the problems left over from the history of reclamation
2021	Coast Guard Law of the People's Republic of China	Stipulating the coast guard's task in supervision and inspection of illegal reclamation

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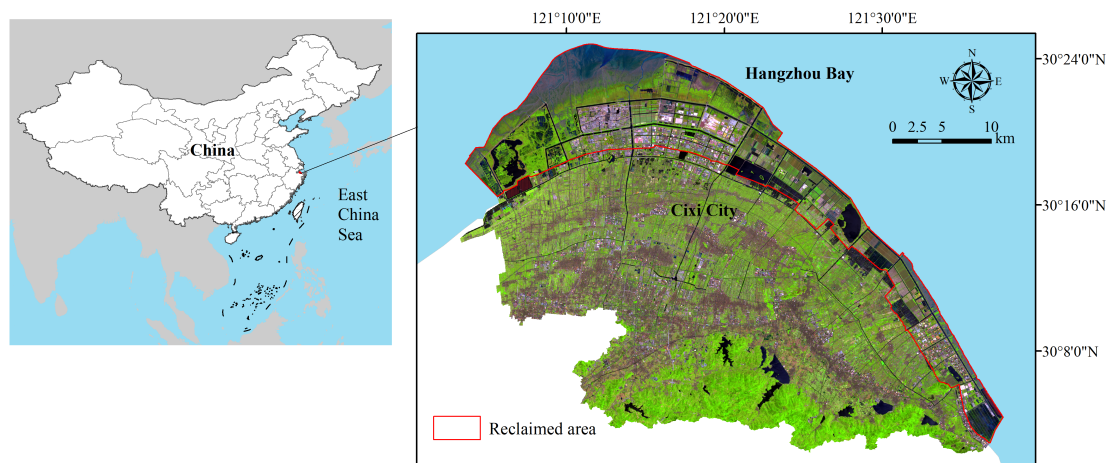
310 **4.1 Study area**

311 We selected Cixi, a coastal city in eastern China, as our study area. Cixi City, with a total area of
312 1361 km² and a population of 1.83 million, is situated on the south of the Yangtze River Delta (Fig. 3).
313 Tidal flat wetlands and flat terrain dominate northern Cixi City, while hilly areas can be found in the
314 south. The area has a subtropical monsoon climate, characterized by a mean annual temperature of 16 °C
315 and average annual precipitation of 1381 mm. Cixi City is an economically important part of the Yangtze

316 River Delta in China. Its GDP soared from 1.04 billion RMB (1 RMB \approx 0.14 USD) in 1985 to 200.83
317 billion RMB in 2020. However, the per capita land area is only 0.08 ha or about one-tenth of the national
318 average (0.68 ha). To meet the soaring demand for land resources, Cixi City has rapidly increased coastal
319 reclamation in recent decades. This has led to drastic changes in land use/cover patterns as well as acute
320 conflicts between human activities and ecological conservation (Cao et al., 2020). Therefore, Cixi City
321 can be regarded as a typical case of coastal reclamation in China's rapidly developing regions.

322 The study area can be divided into two regions: the reclaimed area and the inland area. The
323 coastlines in 1985 and 2020 were used to determine the inner and outer baselines, respectively. The land
324 area in 1985 was classified as inland, and the region enclosed by the inner and outer baselines was defined
325 as the reclaimed area.

326



327

328 **Fig. 3** Location of Cixi City, China. (2020, May; Landsat 8; 6,5,4).

329

330 4.2 Data collection and processing

331 Cloud-free Landsat images (path, 118; row, 39) in 1985, 1995, 2005, 2010, 2015, and 2020 were
332 downloaded from the United States Geological Survey (USGS) Center for Earth Resources Observation
333 and Science (<http://glovis.usgs.gov/>). All images were visually interpreted by qualified experts after
334 standard preprocessing. Seven landscape types were interpreted in light of coastal land use/cover patterns,
335 including built-up, cropland, mariculture, mudflat, orchard, water body, and woodland. Accuracy
336 assessment was carried out by following the approach recommended by Su et al. (2014). Specifically,
337 we first interpreted the 2020 landscape map and assessed its accuracy with 100 sample points obtained
338 from the field survey as well as 100 sample points from Google Earth in 2020. The landscape information

339 for the other years was then retroactively updated using the 2020 landscape map as a reference. Accuracy
340 assessment for the other years was facilitated by historical aerial photos from the Zhejiang Administration
341 of Surveying Mapping and Geoinformation as well as high-resolution images (e.g., Quickbird, and
342 SPOT). The above techniques were successful in creating the reference landscape maps for the years
343 1985 to 2020, as shown in Table 2.

344

345 **Table 2** Accuracy statistics of the interpreted landscape maps.

	1985	1995	2005	2010	2015	2020
Overall accuracy (%)	93.5	91.0	92.5	89.5	89.5	92.0
Kappa index	0.91	0.88	0.90	0.86	0.86	0.89

346

347 4.3 Landscape ecological risk assessment

348 Using the Create Fishnet tool in ArcGIS 10.4, a grid with 1224 assessment units (1 km × 1 km)
349 covering the entire area of Cixi City was produced based on the average patch size of the landscape maps.
350 The ERI was then determined for each grid unit in 1985, 1995, 2005, 2010, 2015, and 2020 using a
351 landscape pattern-based methodology.

352 Following Ran et al. (2022) and Chen et al. (2020), we ranked the landscape vulnerability index of
353 each landscape type in the study area, where 7 is the most vulnerable and 1 is the least vulnerable. The
354 coastal wetlands are typical ecologically fragile areas. They have the highest vulnerability and sensitivity
355 in the study area, so the vulnerability assigned to mudflats was 7. Coastal water bodies are susceptible to
356 land use/cover change due to environmental changes and human activities such as agriculture, offshore
357 aquaculture, and fishing; consequently, values of 6 and 5 were given to water bodies and mariculture,
358 respectively. Cropland can quickly be occupied by construction. However, considering the state's strict
359 implementation of cropland protection policies, a value of 4 was assigned to cropland. The orchard and
360 woodland values in the study area were 3 and 2, respectively, due to the small areas and change rates of
361 orchard and woodland, as well as the orchard's higher level of human disturbance. The built-up area is
362 the most stable category, despite its rapid growth. When a landscape is transformed into a built-up area,
363 the likelihood of further changes is low. Consequently, a value of 1 was assigned. After the normalization
364 method using linear scaling equations, the vulnerability values of the seven landscape types were as
365 follows: mudflat = 0.2500, water body = 0.2143, mariculture = 0.1786, cropland = 0.1429, orchard =
366 0.1071, woodland = 0.0714, and built-up = 0.0357.

367

368 4.4 Soil quality index

369 We focused on soil physics, nutrient properties, and crop yield to assess the primary productivity of
370 cropland. Cixi City is situated on a coastal plain with a level and low-lying topography. The climate and
371 topographic conditions are fairly uniform in the study area, and the cropland management practices also
372 tend to follow a similar pattern. Therefore, soil properties are the main factors in assessing and comparing
373 soil quality, and the assessment's goals are focused on soil physics and nutrient properties.

374 Six common indicators with the highest frequency in related studies (Deng et al., 2011) were chosen
375 for soil quality assessment, as summarized in Table 3. We combined the Delphi method with expert score
376 ranking to determine the scale and weight of each variable concerning its contributions to soil
377 productivity. The highest weight was given to the soil texture indicator because it influences many other
378 soil physical and chemical properties as well as the availability of certain soil nutrients for crop growth.
379 The SQI was then created by combining different variables using a weighted linear combination method
380 (Qiu et al., 2017).

381

382 **Table 3** Assessment system for the soil quality index.

Indicator	Score				Weight (%)
	1	2	3	4	
Texture	Heavy clay/sand	Light clay/sand clay	Clay loam/sand loam	Loam	25
OM (organic matter, %)	<1.5	1.5-2.5	2.5-3.5	>3.5	20
pH	>7.8	<5.5	5.5-6.5/7.5-7.8	6.5-7.5	10
TN (total nitrogen, %)	<0.08	0.08-0.12	0.12-0.15	>0.15	15
AP (available phosphorus, mg/kg)	<15	15-30	30-40	>40	15
AK (available potassium, mg/kg)	<50	50-80	80-100	>100	15

383

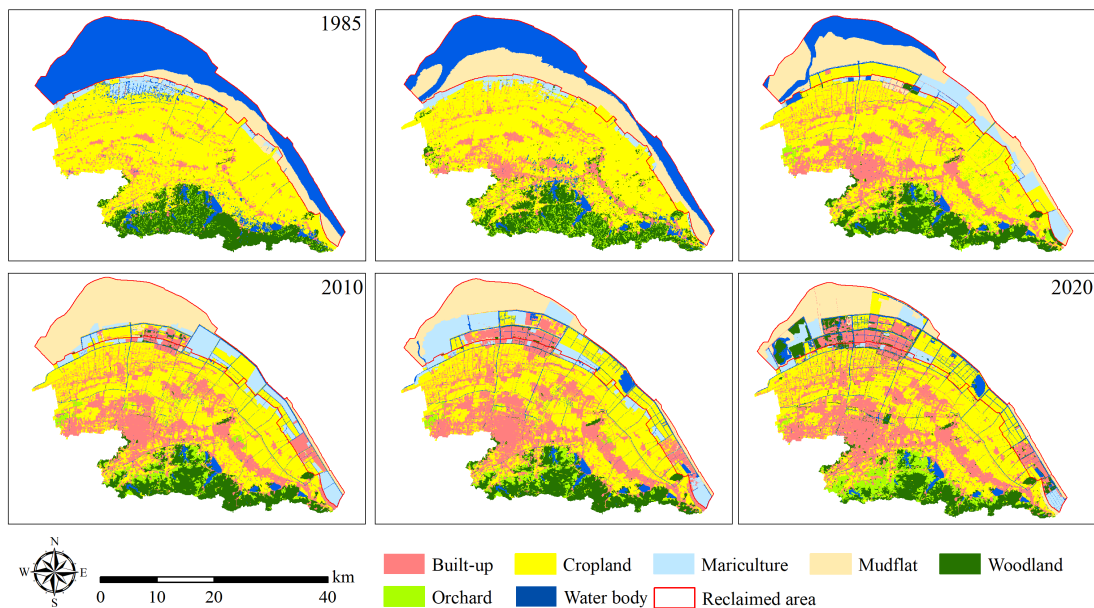
384

385 **5 Empirical findings**

386 5.1 Coastal reclamation and landscape changes

387 From 1985 to 2020, a total of 393.71 km² of coastal wetlands were reclaimed in Cixi City, equivalent
388 to 42% of the original land area, with an average annual reclamation of 11.25 km²(Fig. 4). The entire
389 process could be divided into three periods: before 1995, from 1995 to 2015, and after 2015. The
390 reclamation intensities of the three periods were 1.01, 11.48, and -1.29 km²·year⁻¹, respectively.
391 Extensive reclamation occurred in the two decades from 1995 to 2015, but it has since ceased, and even
392 a small portion of the reclaimed land has been converted back to wetlands.

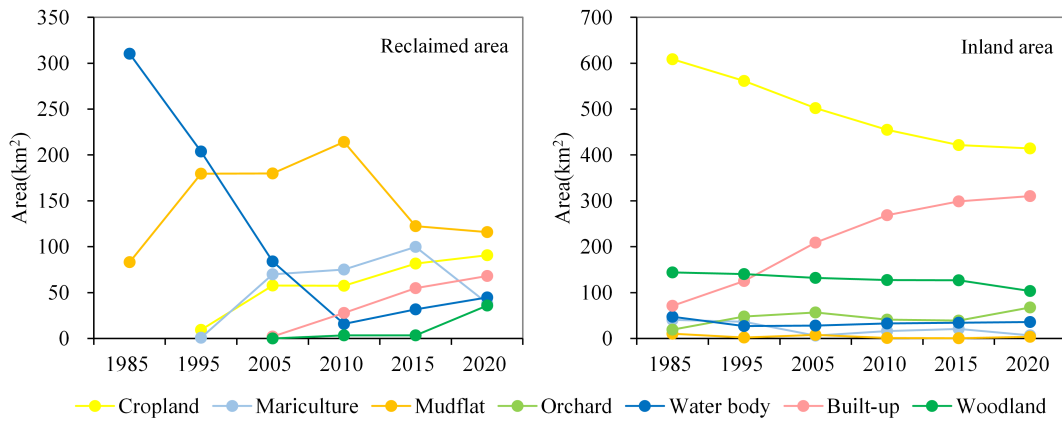
393 The dominant landscape types of coastal wetlands in 1985 included shallow water and mudflats,
394 and most of the area was converted into cropland, built-up land, and mariculture in 2020 (Fig. 5). Water
395 bodies acted as major exporters, leading to the highest net loss in the area (265.63 km²), while mudflats
396 displayed a trend of first increasing and then decreasing (Fig. 6). Cixi City's coastal landscape generally
397 presented a gradual transition from natural wetlands to artificial landscapes. Meanwhile, the inland areas
398 showed a typical urbanization pattern in which constructed lands expanded (71.03 to 310.14 km²) at the
399 expense of croplands (608.49 to 414.50 km²) from 1985 to 2020. However, the total amount of cropland
400 in Cixi City remained largely stable after 2010 due to land reclamation. Since 1985, the added cropland
401 area in the reclaimed area in 1995, 2005, 2010, 2015, and 2020 was 9.31, 57.77, 57.46, 81.70, and 90.78
402 km², respectively.



403

404 **Fig. 4** Landscape maps from 1985 to 2020

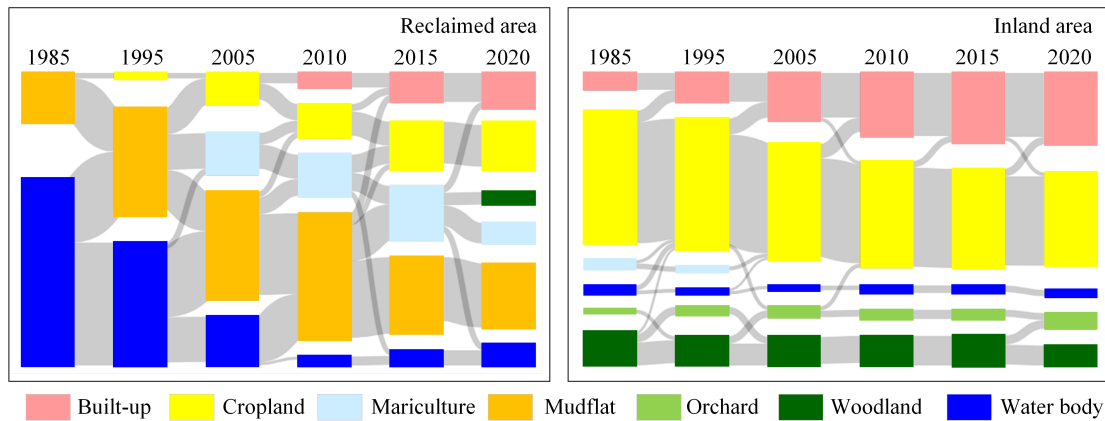
405



406

407 **Fig. 5** Areal changes in landscape categories from 1985 to 2020

408



409

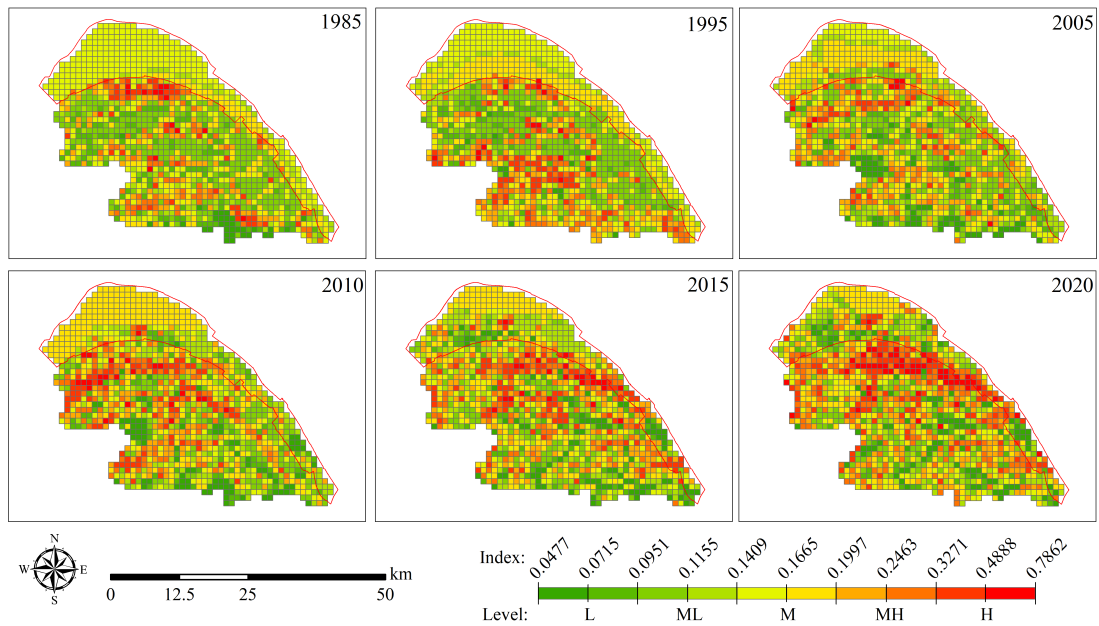
410 **Fig. 6** Evolution of landscapes from 1985 to 2020.

411 *Note: The length of the colored rectangle represents the area of the various landscape types. The grey band*
 412 *connecting the two rectangles denotes the conversion in various landscape types*

413

414 5.2 Landscape ecological risk changes

415 The average ERI values of Cixi City in 1985, 1995, 2005, 2010, 2015, and 2020 were 0.1625, 0.1746,
 416 0.1645, 0.1839, 0.1889, and 0.2075, respectively. The ecological risk in Cixi City stabilized at a relatively
 417 low level from 1985 to 2005 and then increased sharply. The natural breaks classification of ERI resulted
 418 in five levels: low (0.0477, 0.0951], medium-low (0.0951, 0.1409], medium (0.1409, 0.1997], medium-
 419 high (0.1997, 0.3271], and high (0.3271, 0.7862]. The ecological risk structure of Cixi City shifted from
 420 being dominated by low and medium to medium and high levels between 1985 and 2020. The expansion
 421 of the red and orange grids serves as evidence of this change (Fig. 6). The number of high-risk and
 422 medium-high-risk units increased by 113 (176.6%) and 151 (88.3%), respectively.



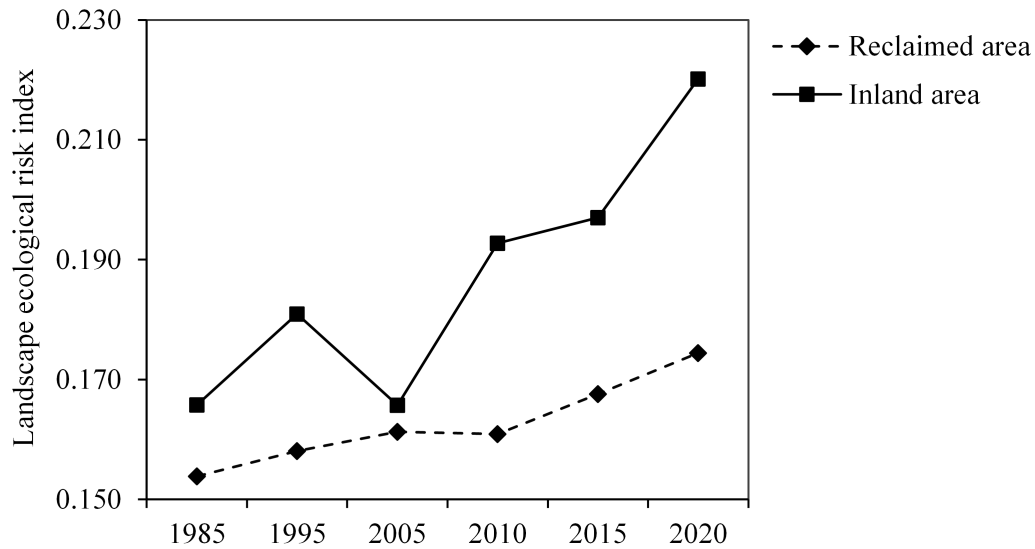
423

424 **Fig. 7** Maps of landscape ecological risk levels from 1985 to 2020.

425 *Note: L (low), ML (medium-low), M (medium), MH (medium-high), and H (high).*

426

427 The landscape ecological risk in Cixi City varied significantly across space in 1985 (Fig. 7). Nearly
 428 the entire reclaimed area was made up of medium-low-risk areas. The low-risk areas were widely
 429 dispersed in the southern hilly areas and central cropland, while the high-risk and medium-high-risk areas
 430 were primarily concentrated in the northern inland area with a small amount scattered in the central. Over
 431 time, the composition and distribution of ERI in the reclaimed areas gradually became more fragmented
 432 and dispersed. High-risk areas spread outward, accounting for the inland area from 7.2% in 1985 to 17.9%
 433 in 2020 and began to emerge in the reclaimed areas (5.6% of the reclaimed area). In comparison to
 434 reclaimed areas, the ERI average values and growth rates in inland areas were significantly higher (Fig.
 435 8).



436

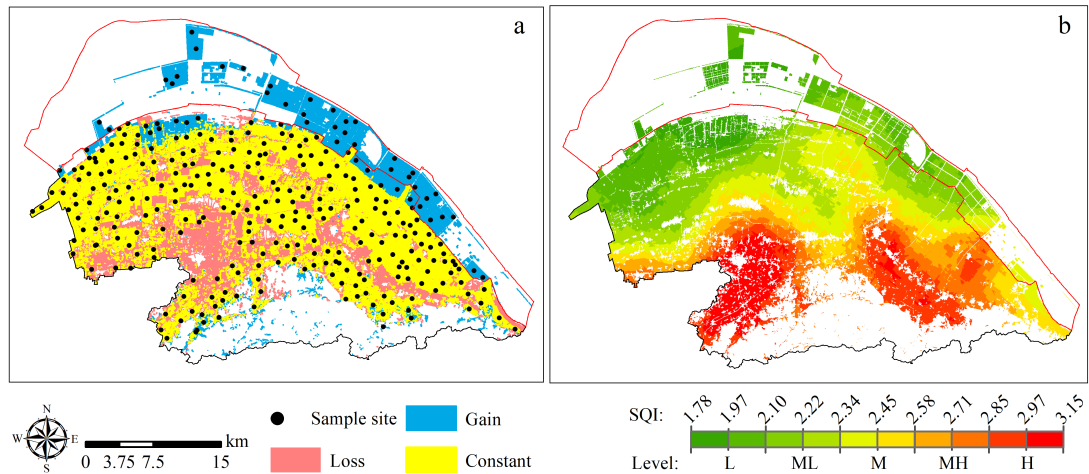
437 **Fig. 8** Average ERI changes from 1985 to 2020.

438

439 5.3 Cropland soil quality changes

440 Data on six soil quality indicators were collected through soil sampling, including 310 soil samples
 441 from cropland across Cixi City in 2020 (Fig. 9a). Each soil sample's SQI was calculated separately, and
 442 a spatial distribution map of the SQI was generated using ordinary kriging interpolation in ArcGIS 10.4.

443 The impacts of coastal reclamation on cropland soil quality are striking, according to Fig. 9. Based
 444 on the findings of the overlay analysis of the 1985 and 2020 landscape maps (Fig. 9a), the majority of
 445 cropland gains (i.e., areas highlighted in blue in Fig. 9a) came from coastal reclamation, and all cropland
 446 losses (i.e., areas highlighted in pink in Fig. 9a) occurred in the inland area. The SQI distribution map
 447 further showed that Cixi City's cropland soil quality was primarily spatially distributed as being high in
 448 the south and low in the north (Fig. 9b). As a result, the soil quality of added cropland was significantly
 449 lower than that of the lost cropland (i.e., the average SQI values were 2.20 versus 2.57). The overall
 450 cropland soil quality in Cixi City also worsened as a result of coastal reclamation, with the average SQI
 451 falling from 2.50 in 1985 to 2.38 in 2020.



452

453 **Fig. 9** Maps of cropland changes from 1985 to 2020, soil sample sites in 2020 (a), and soil quality
 454 levels (b).

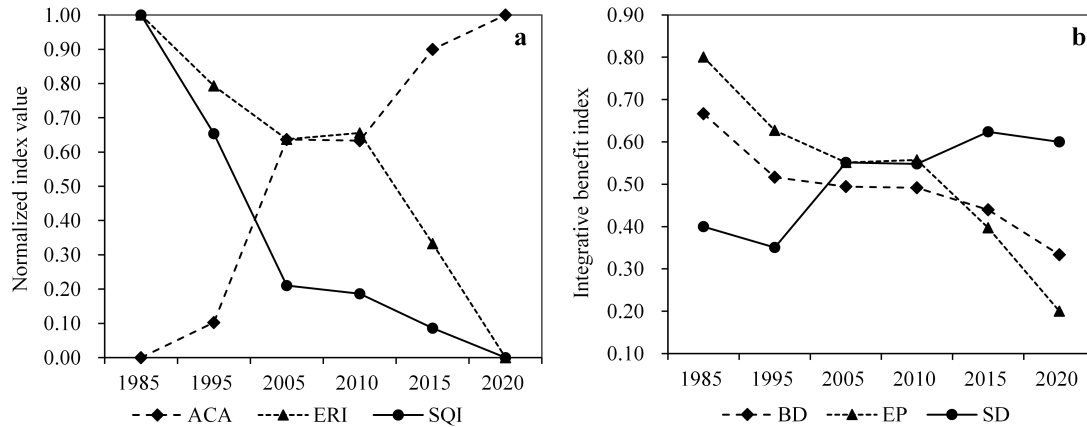
455

456 5.4 Integrated benefit index changes

457 In Fig. 10a, we contracted the movement of ACA, ERI, and SQI over time. It is evident that the gain
 458 of cropland in the reclaimed area came at the expense of the soil quality of cropland and ecological
 459 security throughout the entire area of Cixi City. The sharp drop in the SQI between 2010 and 2020 is
 460 concerning, as by its own, the drop largely offsets the gain from cropland supply from coastal reclamation.
 461 This is a demonstration of our index system's ability to support granular analysis of the impacts of coastal
 462 reclamation to support targeted policymaking.

463 To further demonstrate the flexibility and capacity of the proposed integrated benefit index system,
 464 we calculate the value of IBI under three scenarios, i.e., balanced development ($\alpha=1/3$, $\beta=1/3$, and $\gamma=1/3$,
 465 denoted BD), socioeconomic development ($\alpha=3/5$, $\beta=1/5$, and $\gamma=1/5$, denoted SD), and ecological
 466 priority ($\alpha=1/5$, $\beta=3/5$, and $\gamma=1/5$, denoted EP). The assessment outcomes for IBI in Cixi City varied
 467 greatly under the three different scenarios, as shown in Fig. 10b. The SD scenario complied with the
 468 requirements of China's earlier stage of development, which was focused on economic expansion. Under
 469 the SD scenario, the IBI overall maintained a steady growth of 50%, from 0.4 in 1985 to 0.6 in 2020.
 470 However, under the BD scenario which reflected the perspective of quantity-quality-ecological balanced
 471 protection, the IBI displayed a diametrically opposed downwards trend. It demonstrated how historical
 472 pursuits of economic growth have significantly worsened local ecological conditions and even resulted
 473 in an irreparable loss of net benefit. In addition, under the more aggressive EP scenario for ecological
 474 conservation, the IBI fell by 75% between 1985 and 2020. From the perspective of ecological priority,

475 coastal reclamation implemented by Cixi City was a failed development strategy whose gains did not
 476 compensate for the losses.
 477



478
 479 **Fig. 10** Normalized index values of ACA, LERI, and SQI (a) and IBI (b) changes from 1985 to 2020.
 480 *Note: balanced development (BD), socioeconomic development (SD), ecological priority (EP).*

481

482 **6 Discussions and policy implications**

483 6.1 Process of coastal reclamation

484 Our study found clear evidence of the benefits of the ocean sprawl strategy, including supplying the
 485 additional land needed to accommodate local population growth, urban development, and economic
 486 growth. Cixi is one of the most economically advanced cities in China due to its advantageous location
 487 at Hangzhou Bay and abundant sediment accumulation that helped the city to form and grow. Between
 488 1985 and 2020, Cixi significantly increased its original area by nearly 41.8 percent. Coastal wetlands
 489 were reduced by 59.2%, but cropland and built-up land were added, making up 23.1 and 17.3 percent of
 490 the total reclaimed area, respectively. As a result, Cixi City's cropland distribution changed from the
 491 inland to the reclamation area, and the gravity center of the entire cropland moved 4.6 kilometers
 492 northwest towards the sea. This trend corresponds to ocean sprawl in coastal cities around the world.
 493 Research revealed that direct human activities such as aquaculture, agriculture, coastal development, and
 494 construction were responsible for 39 percent of the global loss of coastal wetlands over the previous two
 495 decades (Murray et al., 2022). Other nearby cities in the Hangzhou Bay Area also frequently engaged in
 496 land reclamation, with an average annual reclamation of 25.04 km² between 1985 and 2015 (Qiu et al.,
 497 2021).

498 The reclamation process in Cixi City can be divided into three periods according to reclamation

499 intensities. Before 1995, the Qiantang River's natural sand deposition created a sizable tidal mudflat at
500 the estuary of Hangzhou Bay. At that time, human intervention was minimal. Later, with the support of
501 authorities, emerging large-scale coastal reclamation projects have had a dramatic impact on coastal
502 ecosystems and landscapes (Li et al., 2020). By visualizing the pattern of land use changes in Cixi, our
503 analysis demonstrated that coastal reclamation played an important role in maintaining the balance of
504 land resources during urbanization. This is achieved by producing additional land areas for agriculture,
505 construction, and mariculture, which eased the pressure of cropland loss in the inland area. Consequently,
506 inland areas showed a pattern of urbanization at the expense of cropland, while cropland occupied
507 mariculture, water bodies, and woodland to partly compensate for the loss of food production. This
508 phenomenon was widespread in China's urbanization because historical towns and cities were frequently
509 constructed on agricultural land and urban sprawl tended to occur close to existing settlements.

510 Our analysis also suggested that the reclaimed area has gone through a distinctive landscape change
511 path along the water body, mudflat, mariculture, cropland, and built-up. One of the primary forces behind
512 this development may be the economic benefits per unit area (Qiu et al., 2021). After 2015, the rate of
513 land reclamation from coastal mudflats slowed considerably, and there was even a trend towards
514 converting part of the mariculture back to ecological wetlands and natural water bodies (Wang et al.,
515 2021b). Since then, coastal reclamation and land use have advanced to the stage of being a priority for
516 ecological conservation. Such findings were in line with earlier studies that identified periodic coastal
517 reclamation as the main force behind neighborhood urbanization and economic growth (Liu and Li, 2020;
518 Meng et al., 2017).

519

520 6.2 Ecological impact of coastal reclamation

521 Many researchers have claimed that the impact of coastal land use/cover changes from natural to
522 artificial was the most obvious aspect of the ecological effects of coastal reclamation (Lin et al., 2019;
523 Sun et al., 2016). Our index system revealed that ongoing coastal reclamation has caused long-term
524 detrimental ecological losses. Using Cixi as an example, we show that landscape ecological risks
525 increased significantly in both the reclaimed and inland areas in Cixi, especially after extensive
526 reclamation projects were completed. This result is consistent with earlier studies suggesting that
527 reclamation harmed the stability of coastal ecosystems (Yu et al., 2021). The interaction of seaward
528 urbanization and the loss of coastal wetlands will have severe squeezing effects on coastal zones and

529 weaken the ecosystem services provided by coastal wetlands (Wu et al., 2022).

530 In terms of the landscape, reclamation expansion would largely result in landscape fragmentation,
531 isolation, and irregularity, all of which have been shown to have a significant impact on a variety of
532 ecological processes and functions (Ju et al., 2021). Our study revealed that the ERI and the landscape
533 disturbance index both showed similar upwards trends, leading to the conclusion that anthropogenic
534 disturbances brought on by coastal reclamation would induce potential ecological risks. Notably,
535 ecological security in the inland area has not improved at the expense of rising ecological risks in
536 reclamation areas. The overall ecological risk in Cixi City showed an upwards trend, indicating a
537 continuous decline in ecological security across the entire region.

538 Reclaiming coastal wetlands for cropland can significantly alter the soil environment (Xie et al.,
539 2020). In the early stages of reclamation, high salinity in wetland soil is a significant limiting factor for
540 soil quality, as it directly lowers soil microbial activity and vegetation cover, prevents the accumulation
541 of organic matter, and raises soil pH (Ge et al., 2021). Another important consideration is the soil texture,
542 as cropland quality is severely constrained by wetland soils' high sand content and low clay content
543 (Wang et al., 2021a). Some researchers even hold that the soil quality of reclaimed land can remain stable
544 after more than 300 years of human improvement and use of the soil (Zhang et al., 2022). Our findings
545 are consistent with the literature. The cropland soil quality in the reclamation area was significantly lower
546 than that in the inland area via soil sampling and soil quality index evaluation. The overall cropland soil
547 quality in Cixi City exhibited a downwards trend, illuminating a persistent decline in cropland production
548 services at the level of the entire region. These results demonstrated that coastal reclamation does have
549 detrimental ecological effects on cropland soil quality and landscape ecological risks.

550

551 6.3 Recommendations on land conservation policy

552 The reclamation process in Cixi City exhibited a staged feature, which was consistent with the
553 evolution of national reclamation management policies from support to strict control. The first stage of
554 reclamation activities (from the 1950s to the mid-1990s) was for agricultural production, with negligible
555 socioeconomic benefits and limited impact on the ecological environment. Later, the CRCB policy was
556 adopted as a national strategy for cropland protection. However, at that time, China's urbanization was
557 beginning to speed up. Coastal areas typically experienced land shortages due to the demands of local
558 urban development, economic prosperity, and construction, as well as the need to maintain the total

559 amount of cropland proposed by the central government. Local governments had to adopt aggressive
560 ocean strategies to add more land by a massive expansion of reclamation as a result of these priority
561 conflicts. The ecological environment in coastal areas has also suffered significant harm at the same time,
562 with wetland degradation issues standing out in particular. To accomplish this, the central government
563 has issued a succession of policy directives that limit coastal reclamation. Particularly in 2018, China
564 issued the strictest coastal land reclamation regulations and stopped approving any new land reclamation
565 projects. As part of the strategy for ecological civilization, coastal reclamation has moved into the third
566 stage of prioritizing coastal ecological protection.

567 Due to its rapid socioeconomic development, Cixi City was characterized by tensions and
568 contradictions in its land management. The CRCB policy made coastal reclamation one of the key
569 strategies for easing the tension between expanding construction and shrinking agricultural land. As a
570 result, Cixi City's total cropland quantity remained largely stable after 2010. This result means that
571 coastal reclamation has achieved its established goal and has become a driver for local development.
572 However, the goal of CRCB policy has also undergone significant reforms, evolving from the initial
573 quantitative balance to the current "three-in-one" of quantity, quality, and ecology for cropland protection.

574 Our analysis shows that the city's reclamation-driven development strategy was unable to meet the
575 updated CRCB policy requirements for soil quality and ecological security. The three scenarios in Section
576 5.4 are carefully designed to mirror the CRCB policies in the three stages mentioned above. The overall
577 effects of land reclamation are positive only in the old regime, i.e., the first stage when increasing land
578 supply for urban development is the priority. However, the benefits of coastal reclamation dropped
579 sharply when assessed against the new policies, i.e., ecological risk and soil quality carry more weight.
580 Our analysis suggests that the Cixi government should implement sustainable land use policies for coastal
581 reclamation to achieve synergies between economic prosperity and ecological security. For example,
582 land consolidation initiatives can be used to enhance the reclaimed cropland's soil quality and functional
583 value. In addition, financial subsidies can be provided for coastal wetland restoration to compensate for
584 stakeholders' income loss. Potential financial resources include ecological compensation from industrial
585 enterprises and tourism revenue from wetland parks in reclaimed areas.

586

587

588 **7 Conclusions**

589 This research proposes an integrated index system to assess the ecological consequences associated
590 with the land reclamation process. Focusing on land use changes in affected areas and leveraging existing
591 studies, we constructed a conceptual framework that covers land supply quantity, ecological environment,
592 and soil quality. An integrated index system is developed based on the conceptual model and tested by
593 using data from a typical coastal city in China.

594 Our study contributes to the literature in two ways. First, the conceptual model provides a
595 comprehensive and systematic approach to assess the ecological impacts of coastal land reclamation. The
596 index system helps land use policymakers identify the essential indicators to monitor the ecological
597 effects of coastal reclamation. It is flexible in terms of the dimensions to be included in the framework,
598 as well as the weighting to be assigned to each component. The validity and reliability of the model are
599 established from a sound analysis of existing studies. Therefore, the study added an important analytical
600 framework to policymakers' and researchers' toolboxes.

601 Second, the empirical findings from Cixi City are not only evidence of the tractability of our
602 theoretical model, but also important new evidence from a key player in the global campaign for
603 sustainable development. Our multidimensional index system shows how much ecological risk has been
604 rising and soil quality has been deteriorating as a result of coastal land reclamation in Cixi City. The
605 negative impacts on the city's ecological environment cannot be offset by the gain from land area
606 increases. As Cixi is a typical coastal city in China, empirical findings from this city can be used to
607 estimate the ecological impacts of land reclamation in cities with similar features but without required
608 data for index construction. Therefore, the study also adds value to the literature at the empirical front.

609 Future studies could improve this research in multiple ways. First, the empirical investigation part
610 of the study could be expanded to cover other coastal cities where land reclamation was practiced. Our
611 model required a wide range of land quality indicators and remote sensing images to construct the indices.
612 These data might not be available for all cities. However, technological advances and local economic
613 development will eventually make the construction of a nationwide index system feasible. The results
614 will be a 'full picture' of the ecological consequences of coastal reclamation, monitored over time and
615 along the entire coastline. It will be a powerful policymaking tool to monitor and manage coastal
616 ecological environments.

617 Second, the calculation of the ecological risk index and soil quality index relies heavily on

618 qualitative assessment (e.g., the scoring process). When multiple cities are included in the system
619 mentioned above, it is important to maintain the consistency and reliability of the index number
620 calculation. This can be achieved in two ways: through the use of either more quantitative data or the
621 same team for all cities.

622 Finally, the interaction between socioeconomic factors, the ecological environment and coastal
623 reclamation is complex. In our conceptual framework, the effect on economic development is indirectly
624 captured although the measurement of land quantity. Specifically, there is an implicit assumption that
625 land reclamation helps economic development by increasing the supply of land. Socioeconomic factors
626 are not included in the model directly for the sake of parsimony because the focus of our analysis is on
627 the ecological effects of land reclamation. Future studies might improve our work by connecting our
628 index system with measurements of other aspects of the local economy.

629

630

631

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